

24-05-01

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04/03/01  
11054 U.S. PTO

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 12017-24/JWE  
Inventor(s) : William T. Turner  
Title : Pickup For Electric Guitar

11054 U.S. PTO  
09/825918  
04/03/01

Express Mail Label No. : EL599668180US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: April 3, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

☐ Applicant claims small entity status under 37 CFR §1.27

2. **IF A CONTINUING APPLICATION**

☒ This application is a **divisional** of patent application No. 09/014,839, Filed January 28, 1998. A copy of this letter is enclosed for filing in the prior application file.).

☐ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_ (Docket No. \_\_\_\_\_).

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

3. **APPLICATION COMPRISED OF**

(a) Specification

8 Specification and claims (total pages)

(b) Drawings

1 Sheets of drawing(s) (FIGS. 1 to 3)

(c) Declaration and Power of Attorney

☐ Newly executed

☒ Copies from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)

☐ An **unsigned** declaration

(i) ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 12017-24/JWE

4. ☐ **Microfiche Computer Program** (*Appendix*)

5. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

☐ Computer Readable Copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

6. **ALSO ENCLOSED ARE**

☒ **Preliminary Amendment which cancels claim 1 and adds new claims 2-21.**

☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers

☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers

☐ 37 CFR 3.73(b) Statement (where there is an Assignee)

☒ This application is owned by pursuant to an Assignment recorded at Reel 9505, Frame 0966

☒ Information Disclosure Statement (IDS)/PTO-1449/892 (3)

☐ Copies of IDS Citations

☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)

☐ English Translation Document (*if applicable*)

☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).

☐ **Request and Certification** under 35 U.S.C. 122(b)(2)(B)(i) **form PTO/SB/35 or its equivalent is attached.**

☐ Other

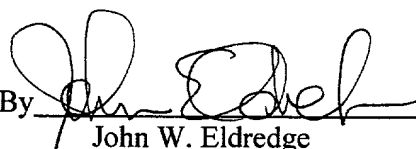
☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

7. **CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**  
**P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By 

John W. Eldredge

Reg. No. 37,613

(949) 725-4143

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: April 3, 2001**

Docket No. : 12017-24/JWE  
Inventor(s) : William T. Turner  
Title : Pickup For Electric Guitars

**FEE CALCULATIONS**

CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	20 – 20 =	0	0 x \$9.00	\$ 0.00
B	INDEPENDENT CLAIMS	3 - 3 =	0	0 x \$40.00	
C	SUBTOTAL			SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)	\$ 0.00
D	BASIC FEE			SMALL ENTITY FEE = \$355.00 LARGE ENTITY FEE = \$710.00	\$ 710.00
E	MULTIPLE-DEPENDENT CLAIMS FEE			SMALL ENTITY FEE = \$135.00 LARGE ENTITY FEE = \$270.00	0.00
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$ 710.00
List Independent Claims: 2, 9, 16					

**METHOD OF PAYMENT**

☒ Payment Enclosed: Check for \$710.00.

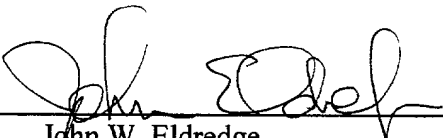
☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

☐ No payment is enclosed.

☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By   
John W. Eldredge  
Reg. No. 37,613

**PATENT**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence all identified attachments is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to:

**Box RESPONSES-NO FEE**  
Assistant Commissioner of Patents  
Washington D.C. 20231

on the date indicated below:

Date:

*March 28, 2000*

By:

*Rebecca Meegan*

Rebecca Meegan

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant: William T. Turner

Serial No. 09/014,839

Filed: January 28, 1998

Examiner: S. Witkowski

Title: PICKUP FOR ELECTRIC  
GUITARS,

Art Unit: 2837

Filed: January 28, 1998

**NOTICE OF CHANGE OF FIRM  
OF APPLICANT'S COUNSEL**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Please take notice that Jan P. Weir counsel for Applicant formally with Oppenheimer Wolff & Donnelly LLP has recently changed firms. His new address is as follows:


Jan P. Weir  
STRADLING YOCCA CARLSON & RAUTH

660 Newport Center Drive  
Suite 1600  
Newport Beach, California 92660  
Phone: (949) 725-4000  
Fax: (949) 725-4100

Mr. Weir will continue with the prosecution of above application as Applicant's attorney of record. (See attachment A, hereto.) Please correct your records accordingly.

Respectfully submitted,

Dated: March 27, 2000



Jan P. Weir  
Reg. No. 43,253  
STRADLING YOCCA CARLSON & RAUTH  
660 Newport Center Drive  
Suite 1600  
Newport Beach, CA 92660  
Tel: (949) 725-4000  
Fax: (949) 725-4100

By Facsimile

(949) 719-6020

OPPENHEIMER WOLFF & DONNELLY LLP  
500 Newport Center Drive  
Suite 700  
Newport Beach, CA 92660

Re: Fender Musical Instruments, Corp.

Dear Gentlemen:

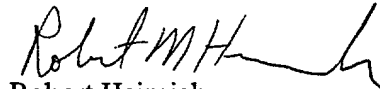
Please release and transfer all Fender Musical Instruments' files including all computer readable format files to:

Jan P. Weir, Esq.  
STRADLING YOCCA CARLSON & RAUTH  
660 Newport Center Drive, Suite 1600  
Newport Beach, California 92660

Please advise any patent annuity companies currently handling Fender patents that Mr. Weir, at the above address, will be the responsible attorney for any patent matters they may be handling. Thank you for your cooperation.

Very truly yours,

FENDER MUSICAL INSTRUMENTS CORP.



Robert Heinrich  
Chief Operations Officer

cc: Trudi Thompson  
Linn Batts